Evidence for Plasticity in White-Matter Tracts of Patients with Chronic Broca’s Aphasia Undergoing Intense Intonation-based Speech Therapy

Gottfried Schlaug, Sarah Marchina, and Andrea Norton

Music, Stroke Recovery, and Neuroimaging Laboratory, Department of Neurology, Beth Israel Deaconess Medical Center and Harvard Medical School, Boston, Massachusetts, USA

Recovery from aphasia can be achieved through recruitment of either perilesional brain regions in the affected hemisphere or homologous language regions in the nonlesional hemisphere. For patients with large left-hemisphere lesions, recovery through the right hemisphere may be the only possible path. The right-hemisphere regions most likely to play a role in this recovery process are the superior temporal lobe (important for auditory feedback control), premotor regions/posterior inferior frontal gyrus (important for planning and sequencing of motor actions and for auditory-motor mapping), and the primary motor cortex (important for execution of vocal motor actions). These regions are connected reciprocally via a major fiber tract called the arcuate fasciculus (AF), however, this tract is not as well developed in the right hemisphere as it is in the dominant left. We tested whether an intonation-based speech therapy (i.e., melodic intonation therapy [MIT]), which is typically administered in an intense fashion with 75–80 daily therapy sessions, would lead to changes in white-matter tracts, particularly the AF. Using diffusion tensor imaging (DTI), we found a significant increase in the number of AF fibers and AF volume comparing post- with pretreatment assessments in six patients that could not be attributed to scan-to-scan variability. This suggests that intense, long-term MIT leads to remodeling of the right AF and may provide an explanation for the sustained therapy effects that were seen in these six patients.

Key words: melodic intonation therapy; speech therapy; plasticity; diffusion tensor imaging; aphasia

Introduction

Aphasia is a condition characterized by either partial or total loss of the ability to communicate verbally. Aphasic disorders are classified according to fluency of verbal output as either fluent or nonfluent aphasia. Nonfluent aphasia most commonly results from a lesion in the left frontal lobe involving the left posterior inferior frontal region known as Broca’s area.1 Patients who are nonfluent usually have the ability to comprehend the speech of others, but are unable to produce words themselves. Fluent aphasia generally results from a lesion involving the posterior superior temporal lobe known as Wernicke’s area. While these patients’ verbal output can be relatively fluent, they may have a prominent comprehension deficit and their jargon-like and/or nonsensical speech is often incomprehensible. The posterior superior temporal region (Wernicke’s area) and the posterior inferior frontal region (Broca’s area) as well as the adjacent premotor cortex are connected via a prominent fiber bundle called the arcuate fasciculus (AF).2 A disruption of just
this fiber bundle results in a characteristic apha-
sic disorder known as conduction aphasia. Pa-
tients with conduction aphasia are fluent and
have relatively good comprehension, although
their ability to repeat words and phrases is
significantly impaired. If a stroke affects the
AF fiber bundle and its anterior target regions,
then the clinical presentation is usually that of
a nonfluent or dysfluent Broca’s aphasia with a
greater or lesser degree of impairment to repeti-
tion, but relatively intact comprehension. Most
patients with aphasia undergo speech ther-
apy in the subacute to chronic phase, but the
outcome in moderate to severely nonfluent pa-
tients with large left-hemisphere lesions is often
dismal, even with intensive speech therapy. Al-
though the efficacy of speech therapy in gen-
eral has been shown in several meta-analyses,
these analyses have also revealed that therapies
might only lead to a measurable effect if the
intervention is both intense and long-term.

Functional imaging methods, such as
positron emission tomography and functional
magnetic resonance imaging (fMRI), have been
used to reveal the functional neural correlates of
language recovery, mostly using one assessment
either at the presumed end of natural recovery
or at the end of an experimental study. The
general consensus across all of these studies is
that there are two routes to recovery. In patients
with small lesions, there tends to be more ac-
tivation of left-hemisphere peri-lesional cortex
and variable right-hemisphere activation either
during the recovery process or after recovery. In
patients with large left-hemisphere lesions in-
volving most, if not all of the language-capable
regions in the left fronto-temporal lobes, there
tends to be more activation of homologous
right-hemisphere language regions. Interestingly, relatively few studies have examined
the neural correlates of an aphasia treat-
ment by contrasting pre- and posttherapy
assessments.

Structural correlates of language recovery
have not yet been examined. Voxel-based mor-
phometry methods may be sensitive enough to
detect changes in gray-matter densities or gray-
matter volume, but more meaningful methods
might be those capable of detecting changes in
connectivity between regions that must func-
tion in concert in order to restore any degree of
language function after a stroke. In the present
study, we aimed to use diffusion tensor imaging
(DTI) to examine possible connectivity changes
in chronic aphasic patients undergoing inten-
sive therapy. DTI is a magnetic resonance imag-
ing (MRI) technique that provides information
about the diffusion of water molecules (Brown-
ian motion) in the brain. The diffusivity of wa-
ter molecules provides information about the
brain’s microstructure: in regions with high dif-
fusion that has directionality, nerve fibers are
likely to go in a similar direction. There has
been increasing interest in the DTI technique
not only because it allows us to study normal
white-matter anatomy and structural connec-
tivity, but also because it allows us to study
potential remodeling of white-matter tracts in
stroke patients who are undergoing intense re-
habilitation. The method allows filtering of
fibers that pass through desired regions of in-
terest (ROIs). The resulting isolated tracts are
depicted in a probabilistic map reflecting the
likelihood of a structural connection between
selected regions of the brain.

The one intervention that specifically seeks
to engage homologous right-hemisphere lan-
guage regions, and appears effective at do-
ing so when it is done intensively over a long
period of time, is melodic intonation therapy
(MIT). This method was developed in re-
sponse to the observation that severely aphasic
patients can often produce well-articulated, lin-
guistically accurate words while singing, but not
during speech. MIT is a hierarchically
structured treatment that uses intoned (sung)
patterns to exaggerate the normal melodic
content of speech by translating prosodic
speech patterns (spoken phrases) into melod-
ically intoned patterns using just two pitches. MIT contains two unique elements that set it
apart from other, nonintonation-based thera-
pies: (1) the melodic intonation (singing) with
its inherent continuous voicing, and (2) the
rhythmic tapping of each syllable (using the patient’s left hand) while phrases are intoned and repeated. Another important characteristic of MIT is that, unlike many therapies administered in the chronic phase that involve one to two short sessions per week, MIT engages patients in intensive treatment totaling 1.5 h/day, 5 days/week, until the patient has mastered all three levels of MIT, usually after 75–80 or more sessions.

Considering that MIT is applied in a very intensive fashion, we aimed to examine whether the intensity of this intonation-based speech therapy in chronic nonfluent aphasic patients with relatively large left-hemisphere lesions would not only lead to functional changes in the brain as reported previously, but would also change brain structure. It is possible that the sustained therapy effects may actually be due to structural changes in a language network, whether it be one that already exists or one that must be established during the course of treatment in order for the therapy to successfully restore some degree of fluency. The critical structure that facilitates both speech production and its feedforward and feedback control system is the AF. This structure connects the posterior part of the temporal lobe and inferior parietal region with the inferior frontal region of the brain and is considered to be part of a larger structure, the superior longitudinal fasciculus. In the dominant hemisphere, this pathway is thought to connect Wernicke’s area with Broca’s area and is usually more developed than its homolog structure in the nondominant right hemisphere. We sought to determine whether or not the AF in the undamaged right hemisphere would show structural changes as a result of intensive, long-term treatment with MIT.

**Material and Methods**

**Patients**

We selected six right-handed patients from a larger group of patients who had participated either in our MIT pilot studies or in our ongoing randomized clinical trial examining the behavioral and neural correlates of two speech therapies in nonfluent aphasic patients. These six patients were selected because they had moderate to severe nonfluent aphasia with relatively preserved comprehension and were at least 1 year since their first (and only) left-hemisphere stroke. In addition, they had undergone high-resolution MRI studies that had included DTI acquisitions both before and after therapy. In addition, several of these patients had two separate DTI studies done before therapy, which allowed us to examine possible scan-to-scan variability in DTI-derived measures and relate that variability to therapy-induced changes in DTI-derived measures. Behavioral assessments, which were done several times before therapy, after 75 therapy sessions, and again 1 month later, included the number of correct information units (CIUs)/min produced during spontaneous speech, picture descriptions, and descriptions of common procedures. Secondary outcome measures included correctly named items on standard picture-naming tests, as well as syllables per phrase.

**Imaging Assessments**

Structural MRI with DTI was performed using a 3-tesla General Electric scanner. Anatomic images were acquired using a T1-weighted, three-dimensional, magnetization-prepared, rapid-acquisition, gradient-echo (MPRAGE) with a voxel resolution of $0.93 \times 0.93 \times 1.5$ mm. DTI was performed using a diffusion-weighted, single-shot, spin-echo, echo-planar imaging sequence (TE1 = 86.9 ms, TR = 10,000 ms, FOV = 240 mm, matrix size = $128 \times 128$ voxels, slice thickness = 5.0 mm, no skip, NEX = 1). Twenty-five noncollinear directions with a $b$-value of 1000 $s/mm^2$ and one direction with a $b$-value of 0 $s/mm^2$ were acquired. Fractional anisotropy (FA) values, a measure of the degree of directional preference of water diffusion, were calculated within each brain voxel.
Data Analysis

Tractography was applied to the DTI data to reconstruct white-matter tracts by successively following the path of preferred direction of water diffusion when FA is higher than a selected threshold.\(^26-28\) By means of MedINRIA software version 1.5.3 (http://www-sop.inria.fr/asclepios/software/MedINRIA),\(^29\) diffusion tensors were calculated from all voxels within the brain, and fiber tracts were calculated by connecting adjacent voxels with similar principal eigenvectors, using a threshold FA value of 0.2 and a smoothness factor (a parameter ranging from 0 to 1 corresponding to the straightness of each fiber) of 0.2 for continuous fiber reconstruction.\(^30\) Only fibers with lengths \(>10\) mm were included. These parameters are similar to those used by others who applied a fiber assignment by continuous tracking algorithm.\(^31,32\) ROIs were drawn in each brain on sagittal slices (with visual control of the region in the other two orthogonal planes) by a single coder, who was blind to the status of the participants. We identified the AF according to published DTI atlases.\(^33,34\) We drew ROIs in the white matter underlying the posterior middle temporal gyrus (pMTG), which contains the largest posterior branch of the AF, and the posterior inferior frontal gyrus (pIFG) to constrain fiber tracts. Fibers were reconstructed using voxels in the pMTG as seed regions and voxels in the pIFG ROIs as the target region.

In addition to the AF fiber tract, we also identified and traced the corticospinal tract (CST) in order to relate AF fibers at each timepoint to an internal control fiber bundle to minimize possible whole-brain differences in diffusivity from timepoint to timepoint. There was no reason to expect that the CST in the nonaffected hemisphere would change in this group of chronic stroke patients (who did not undergo occupational therapy during the time that they were enrolled in our study). The CST was determined by drawing ROIs in the posterior limb of the internal capsule (PLIC), the brain stem at a pontine level, and the white matter underlying the precentral gyrus in each hemisphere on the color-coded FA images. The analysis was started by drawing an ROI in the PLIC, which is known to include the CST from anatomic and MRI studies.\(^36-38\) The next ROI was drawn at a pontine level using a slice on which the superior cerebellar peduncle was visible, corresponding to \(z = -26\) of a spatially normalized brain in Talairach and Tournoux space.\(^39\) We added a logical AND-function so that only fibers passing through both ROIs were considered for further analysis. The third ROI was drawn in the precentral gyrus, including its underlying white matter at a level that corresponded to \(z = 64\) mm of a spatially normalized brain. A logical AND-function was also added for this ROI so that only fibers that started in the precentral gyrus and passed through the PLIC and the pons were designated as the CST. After applying tractography, the identified fiber bundles were compared for tract fiber number and volume.

Results

The AF was successfully identified in the right hemisphere of all six aphasic patients, but could not be identified to its full extent in the left hemisphere because each of the six patients’ strokes had destroyed the majority of the tract. Therefore, our analysis is restricted to post- versus pretreatment comparisons of right-hemisphere tracts.

All six patients showed a significant increase in the absolute number of fibers in the right AF comparing post- versus pretreatment DTI studies (paired \(t\)-test, \(P = 0.04\)). One patient (Fig. 1) showed not only an increase in the absolute fibers of the AF, but also an increase in the fiber length after therapy. The two pretreatment DTI studies did not show any significant difference in the overall AF tract or in the total number of fibers. In order to normalize the pre- and the posttreatment measurement, we calculated a ratio between the
fibers in the AF and the CST. Similar to the absolute differences seen in the post- versus preassessments, we also found a significant difference (paired $t$-test, $P = 0.02$) in the relative fiber numbers when the AF fibers were normalized with the fibers in the pyramidal tract (Fig. 2).

Because we had two pretreatment DTI studies in several patients we were able to assess scan-to-scan variations in the number of fibers present prior to therapy and compare this to the post- versus pretherapy differences. The scan-to-scan variability before therapy was very small and some patients even had identical fiber numbers. Thus, the post- versus pretreatment difference cannot be explained by scan-to-scan variability.

All six patients showed a significant improvement in speech outcome measures, such as the CIUs, while eliciting spontaneous speech through conversations with the patient and description of complex pictures as well as common procedures, the picture-naming test, and the number of syllables per phrase (Fig. 3). By regressing change in CIU/min with change in AF fiber number, we found a strong trend for a correlation that did not reach significance, most likely because of the relatively small number of patients studied. Nevertheless, the observed trend let us conclude that the more a
Figure 2. Right AF in one patient with two scans before therapy and two scans after 75 sessions of melodic intonation therapy. The scan-to-scan variability is minimal before therapy and a clear difference in the number of fibers and fiber volume can be seen in comparing the AF before and after therapy. (In color in Annals online.)

Figure 3. CIU/min before (gray bars) and after therapy (black bars) for all six participants.

patient improved after therapy compared to before therapy, the more AF fibers were detected ($r = 0.7; P = 0.1$) (Fig. 4).

Discussion

The small amount of empirical data available supports a bi-hemispheric role in the execution and sensorimotor control of vocal production for both speaking and singing, with a tendency toward greater left-lateralization for speaking under normal physiological conditions (i.e., faster rates of production during speaking than singing). The asymmetry of the language fiber tracts in fiber number/volume and fiber extent, among them the AF, might be a structural correlate of the left-hemisphere advantage for language functions, although the right hemisphere also plays a role in expressive language function.

The two unique elements of MIT that, most likely, make the strongest contribution to the therapy’s beneficial effects are the melodic intonation (singing) with its inherent sustained vocalization, and tapping with the left hand. How might melodic intonation influence recovery? Functional imaging tasks targeting the perception of musical components that require a more global than local processing strategy (e.g., melodic contour, musical phrasing, and/or meter) tend to elicit greater activity in right-hemispheric brain regions than in
left-hemispheric regions. It has been shown that tasks that emphasize spectral information over temporal information have shown more right- than left-hemispheric activation.\textsuperscript{45} Similarly, patients with right-hemisphere lesions have greater difficulty with global processing (e.g., melody and contour processing) than those with left-hemisphere lesions.\textsuperscript{46,47} Thus, it is possible that the melodic element of MIT engages the right hemisphere, particularly the right temporal lobe, more than therapies that do not make use of pitch or melody. Furthermore, using melody and emphasizing prosodic features will lead to a general reduction in the vocalization rate as syllables are lengthened and “chunked” into larger structures.

The effects of tapping the left hand should be considered in the same context. Once the right temporal lobe is specifically engaged by the melodic intonation and melodic contour, it is conceivable that the role of the left hand-tapping could be the activation and priming of a right-hemispheric sensorimotor network for articulation. Since concurrent speech and hand use occurs in daily life, and gestures are frequently used during speech, hand movements, possibly in synchrony with articulatory movements, may have a facilitating effect on speech production, but the precise role of this facilitation is unknown. We hypothesize that tapping the left hand may engage a right-hemispheric sensorimotor network that coordinates not only hand movements but orofacial and articulatory movements as well. There is some evidence in the literature that such superordinate centers exist in the premotor cortex and share neural substrates for hand and orofacial movements.\textsuperscript{48-50} Furthermore, behavioral,\textsuperscript{51} neurophysiological,\textsuperscript{48,49} and fMRI studies\textsuperscript{52-54} have shown that motor and linguistic cortical representations of objects are closely linked, and that the premotor cortex may belong to an integrative network coordinating motor and linguistic expression. An additional or alternative explanation is that the left hand tapping may serve the same function as a pacemaker or metronome has in rehabilitation of other motor activities, and in so doing, may facilitate speech production through rhythmic anticipation, rhythmic entrainment, or auditory-motor coupling.\textsuperscript{54-56}

The structural changes that we have detected in the AF must be seen in the context of what MIT actually does and the potential benefit that a patient may derive from the therapy. It is very clear that for the therapy to work well, the temporal lobe must strengthen its connections with the frontal lobe in order to provide fast feedback mechanisms for vocal articulation and for auditory-motor coupling.\textsuperscript{54-56} The potential benefit that a patient may derive from the therapy. It is very clear that for the therapy to work well, the temporal lobe must strengthen its connections with the frontal lobe in order to provide fast feedback mechanisms for vocal articulation and for auditory-motor coupling.
in the right hemisphere. Mapping sounds to vocal motor actions is more of a left-hemisphere function, but that function is typically destroyed as part of the stroke that causes Broca’s aphasia. Furthermore, the inferior frontal lobe needs to connect quickly with the premotor and motor regions in order to plan, prepare, and execute vocal actions. This feedforward system may be under corrective and/or adaptive control of the sensory feedback system in order to improve the auditory-motor mapping.

In this context, it would make sense that the tract that provides the connections between these major regions undergoes remodeling as part of the long-term therapy, in particular, if the therapy specifically engages the brain components that are part of this tract. Moreover, since the right AF is known to have slightly less volume and appears to be slightly shorter than it is on the left, it is also not surprising that the major change that seems to be occurring is in fiber number/volume, and possibly fiber length as we have seen in some of our patients. The components that underlie this structural change are not clear. Because our analysis suggests that there are more fibers, and we know that there is also more volume in this tract, it is possible that the myelination of axons increases and that there is either additional axon growth or axon collaterals are being formed. Furthermore, it is also possible that there are other physical changes to membranes that make fibers more traceable. Experimental studies in monkeys have shown that remodeling of fiber tracts, such as the formation of axon collateral, can happen after a focal stroke. The establishment of axon collaterals in the affected hemisphere may actually change the cytoarchitecture in these regions, which, in turn, has direct effects on the diffusion of water molecules: the lower the alignment in the architecture, the lower the directionality in diffusion (and vice versa), consequently affecting fiber reconstruction.

It is clear that this change is not due to normal fluctuations in the measured parameters, since the variability between two DTI scans and their derived measures prior to therapy was very small, and the magnitude of change seen in the post- versus pretherapy comparisons was way beyond the level of scan-to-scan variability. It is possible that the remodeling of the AF might be triggered by the need for stronger, more effective connections between speech-relevant brain regions in the right hemisphere. This remodeling could involve changes not only in myelination, but also in the axons themselves, possibly through the formation of axon collaterals, which could account for the increased number of fibers detected after therapy.

Acknowledgments

This work was supported in part by grants from the National Institute of Health (NS045049, DC008796), the Grammy Foundation, and the Mattina R. Proctor Foundation.

Conflicts of Interest

The authors declare no conflicts of interest.

References

Schlaug et al.: White-Matter Plasticity in Chronic Broca’s Aphasia


